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| **Principle 3: The REDD+ program improves long-term livelihood security and well-being of Indigenous Peoples and local communities with special attention to the most vulnerable people.** | | | | |
| 3.2 | There is participatory assessment of positive and negative social, cultural and economic impacts of the REDD+ program including both predicted and actual impacts. | | | |
| **Project Indicators to Ensure Compliance to Principle** | | | | |
| Theme | | Indicator | Reference | Requirement for Verification |
| Participatory assessment | |  |  | * A participatory process is established and implemented to assess the predicted and actual positive and negative social, cultural, human rights environmental and economic impacts of the REDD+ program for Indigenous Peoples and local communities and specifically for the most vulnerable people among them, including gender differentiated impacts. * The social, cultural, human rights, environmental and economic impact monitoring takes a differentiated approach that can identify positive and negative impacts on the most vulnerable people, including gender differentiated impacts. |

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| **Principle 4:** **The REDD+ program contributes to broader sustainable development and good governance objectives.** | | | | |
| 4.1 | The REDD+ program contributes to achieving the objectives of sustainable development policies, strategies and plans established at national and other relevant levels. | | | |
| 4.2 | The REDD+ program leads to improvements in governance of the forest sector and other relevant sectors. | | | |
| 4.4 | There is strong government commitment to the REDD+ program in their country. | | | |
| 4.5 | The REDD+ program is coherent with relevant policies, strategies and plans at all relevant levels and there is effective coordination between government and other agencies/organizations responsible for the design, implementation and evaluation of the REDD+ program and other relevant government agencies/organizations | | | |
| **Project Indicators to Ensure Compliance to Principle** | | | | |
| Theme | | Indicator | Reference | Requirement for Verification |
| National Sustainability Policies | |  |  | * 4.1.2 The REDD+ program elaborates how its policies and measures will contribute to the implementation of any existing biodiversity policies, strategies and plans developed at national level and other relevant levels. |
| Forest Sector Governance | |  |  | * 4.2.1 The REDD+ program identifies the broader forest governance issues that it can address, particularly those related to the equity35, effectiveness and efficiency of the REDD+ program, and establishes country-specific performance targets. * 4.2.2 The REDD+ program includes institutional capacity strengthening and other measures that aim to improve these governance aspects. * 4.2.3 The REDD+ program monitoring and evaluation plan includes key forest governance indicators. |
| Government Commitment | |  |  | * 4.4.1 REDD+ program institutional arrangements reflect government leadership. * 4.4.2 Government agencies/organizations play a leading role in the development, implementation and evaluation of the REDD+ program. |
| Government and Agency Coordination | |  |  | * 4.5.3 The REDD+ program is integrated into the broader policy framework of the forest sector and other relevant sectors. |

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| **Principle 5: The REDD+ program maintains and enhances biodiversity and ecosystem services.** | | | | |
| 5.1 | Biodiversity and ecosystem services potentially affected by the REDD+ program are maintained and enhanced. | | | |
| 5.2 | The positive and negative environmental impacts of the REDD+ program on biodiversity and ecosystem service priorities and any other negative environmental impacts are assessed including both predicted and actual impacts | | | |
| 5.3 | The REDD+ program is adapted based on predictive and ongoing impact assessment to mitigate negative, and enhance positive, environmental impacts. | | | |
| **Project Indicators to Ensure Compliance to Principle** | | | | |
| Theme | | Indicator | Reference | Requirement for Verification |
| Biodiversity and Ecosystem Services maintained and enhanced | |  |  | * 5.1.1 Biodiversity and ecosystem services38 potentially affected by the REDD+ program are identified, prioritized and mapped39 at a scale and level of detail appropriate to each element/activity within the program.40 * 5.1.2 The objectives of the REDD+ program include making a significant contribution to maintaining and enhancing biodiversity and ecosystem services. * 5.1.3 The REDD+ program identifies and implements measures that aim to maintain and enhance the identified biodiversity and ecosystem service priorities potentially affected by the REDD+ program. * 5.1.4 The REDD+ program does not lead to the conversion of natural forests or other areas that important for maintaining and enhancing the identified biodiversity and ecosystem service priorities. * 5.1.5 The REDD+ program generates additional resources41 * to maintain and enhance biodiversity and ecosystem services. |
| Assessment of Impacts | |  |  | * 5.2.1 A monitoring plan and indicators are defined for measurement of the identified biodiversity and ecosystem service priorities potentially affected by the REDD+ program drawing from traditional knowledge and scientific research as appropriate. * 5.2.2 There is an assessment of both predicted and actual environmental impacts of the REDD+ program42, involving Indigenous Peoples and local communities and other stakeholders as appropriate. |
| Mitigation | |  |  | * 5.3.1 Measures to identify and effectively mitigate potential negative environmental impacts are included in the design of the REDD+ program. * 5.3.2 Feedback from monitoring is used to develop and implement measures to further mitigate potential and actual negative environmental impacts, during the implementation phase of the REDD+ program. * 5.3.3 Feedback from monitoring results in measures to enhance environmental impacts. |

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| **Principle 6: All relevant rights holders and stakeholders participate fully and effectively in the**  **REDD+ program.** | | | | |
| 6.1 | The REDD+ program identifies and characterizes the rights and interests of all rights holder and stakeholder groups and their relevance to the REDD+ program. | | | |
| 6.2 | All relevant rights holder and stakeholder groups that want to be involved in REDD+ program design, implementation and evaluation are fully involved through culturally appropriate and effective participation.. | | | |
| 6.3 | The relevant rights holder and stakeholder groups determine, in a verifiable manner, the process by which they will participate and be represented in relation to the REDD+ program, taking account of statutory and customary institutions. | | | |
| 6.4 | The relevant rights holders and stakeholder groups have a good understanding of the key issues related to the REDD+ program and the capacity to participate effectively. | | | |
| 6.5 | Design, implementation and evaluation of the REDD+ program builds on and supports rights holders and stakeholders’ traditional and other knowledge, skills and management systems including those of Indigenous Peoples and local communities. | | | |
| 6.6 | Mechanisms are in place to receive and resolve grievances and disputes relating to the design, implementation and evaluation of the REDD+ program. | | | |
| 6.8 | Rights holders and stakeholders have access to legal advice and understand relevant legal processes, and legal and financial implications related to the REDD+ program. | | | |
| **Project Indicators to Ensure Compliance to Principle** | | | | |
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| **Principle 7: All rights holders and stakeholders have timely access to appropriate and accurate information to enable informed decision-making and good governance of the REDD+ program.** | | | | |
| 7.1 | Adequate information about the REDD+ program is publicly available to promote general awareness and good governance. | | | |
| 7.2 | Rights holders and stakeholders have the information that they need about the REDD+ program, provided in a culturally appropriate and timely way, to participate fully and effectively in program design, implementation and evaluation, including information about potential social, cultural, economic and environmental risks and opportunities, legal implications, and the global and national context. | | | |
| 7.3 | Rights holder and stakeholder group representatives collect and disseminate all relevant information about the REDD+ program from and to the people they represent in an appropriate and timely way. | | | |
| 7.4 | Information is available and disseminated about the REDD+ program in time to enable rights holder and stakeholder feedback to their representatives and respecting the time needed for inclusive decision making. | | | |
| 7.5 | The REDD+ program makes sufficient resources available to provide and collect information in a timely and appropriate manner. | | | |
| **Project Indicators to Ensure Compliance to Principle** | | | | |
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| **Principle 8: The REDD+ program complies with applicable local and national laws and international treaties, conventions and agreements.** | | | | |
| 8.1 | The REDD+ program complies with local law, national law and international treaties, conventions and agreements ratified or adopted by the country. | | | |
| 8.2 | Where local or national law is not consistent with the standards, a review process should be undertaken that results in a plan to resolve the inconsistencies. | | | |
| 8.3 | Relevant rights holders and stakeholders have the capacity to understand, implement and monitor legal requirements related to the REDD+ program. | | | |
| **Project Indicators to Ensure Compliance to Principle** | | | | |
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