Note: Indicators are specifications to the Criteria, containing elements that verify conformance with the Criteria. Normally, they are specific to a certain situation or region. This version of the standard purposefully does not include indicators, because: i) their scope is very generic, including various stakeholders, scales and regions; ii) this document is not expected to be used in the field by itself, (not used with another standard/norm), to evaluate REDD+ projects or programs.

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| **Principle 1 – LEGAL COMPLIANCE: conformance to legal requirements and relevant international agreements.**  |
| Criterion 1.1 | REDD+ actions shall respect the Brazilian labor legislation, including requirements on health and safety and repression of any form of slave and child labor, while respecting the distinctiveness of the organization of labor of Indigenous Populations, small landowners and local communities. |
| Criterion 1.3 | REDD+ actions shall respect all international social, environmental, cultural, labor and commercial agreements ratified by Brazil. |
| **Project Indicators to Ensure Compliance to Principle** |
| Theme | Indicator | Reference | Requirement for Verification  |
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| **Principle 2 – RIGHTS RECOGNITION AND GUARANTEE: recognition and respect to rights to lands, territories and natural resources.** |
| Criterion 2.1  | There shall be the recognition and respect of the constitutional, statutory and customary rights associated with land ownership, the official designation of occupied lands, and the use of natural resources of Indigenous Peoples, small landowners, including complete respect to the UN Declaration on the Rights of Indigenous Peoples, to the FAO Treaty on Agriculture and Food, and to the ILO Convention 169. |
| Criterion 2.2 | REDD+ actions shall recognize and value the socio-cultural systems and traditional knowledge of Indigenous Peoples, small landowners and local communities. |
| Criterion 2.3 | REDD+ actions shall respect the rights to self-determination of the Indigenous Peoples and local communities. |
| Criterion 2.4 | In the areas where REDD+ actions are implemented, lawful ownership and possession rights shall be respected, as well as those rights associated with the use of land and natural resources. |
| Criterion 2.5 | There shall be formal mechanisms for conflict resolution associated with REDD+ actions, through dialogs that include the effective participation of all involved stakeholders. |
| **Project Indicators to Ensure Compliance to Principle** |
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| **Principle 3 – BENEFIT SHARING: fair, transparent and equitable benefit sharing generated by REDD+ actions.** |
| Criterion 3.1 | Benefits generated by REDD+ actions shall be accessed in a fair, transparent and equitable form by those who hold the rights to the use of land and/or natural resources and promote activities related to conservation, sustainable use and forest restoration. |
| **Project Indicators to Ensure Compliance to Principle** |
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| **Principle 4 – ECONOMIC SUSTAINABILITY, IMPROVEMENT IN QUALITY OF LIFE AND POVERTY ALLEVIATION: contribution to economic and sustainable diversification of the use of natural resources.** |
| Criterion 4.1 | REDD+ actions shall promote economic alternatives based on standing forest valorization and on the sustainable use of natural resources and deforested areas. |
| Criterion 4.2 | REDD+ actions shall contribute to poverty alleviation, social inclusion and improvement of livelihoods for people who live in REDD+ implementation areas and in areas affected by it. |
| Criterion 4.3 | REDD+ actions shall contribute to the empowerment and autonomy of populations involved, based on participatory planning and local development tools. |
| Criterion 4.4 | REDD+ actions shall consider adaptation measures to minimize the negative impact of climate change on Indigenous Peoples, small landowners and local communities. |
| **Project Indicators to Ensure Compliance to Principle** |
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| **Principle 6 – PARTICIPATION: participation in the development and implementation of REDD+ actions and in decision making processes.** |
| Criterion 6.1 | Conditions for the participation of the beneficiaries shall be ensured in all phases of REDD+ actions and in the decision making processes, including the identification, negotiation and distribution of benefits. |
| Criterion 6.2 | Decision making processes relating to REDD+ actions shall effectively ensure the right to free, previous and informed consent, considering local representations and respecting the traditional forms of electing representatives by Indigenous Peoples, small landowners and local communities. |
| Criterion 6.3 | Populations living in areas affected by REDD+ actions shall be informed about them. |
| **Project Indicators to Ensure Compliance to Principle** |
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| **Principle 7 – MONITORING AND TRANSPARENCY: complete availability of information related to REDD+ actions.** |
| Criterion 7.1 | Beneficiaries shall have free access to information relating to REDD+ actions, in simple language, so they can participate in the decision making process in a previously informed and responsible manner. |
| Criterion 7.2 | Transparency of information about REDD+ actions shall be guaranteed, including at least those related to the methodology, location and size of the area, definition and participation of involved and affected stakeholders, activities to be executed, time length of the project and conflict resolution mechanisms. |
| Criterion 7.3 | In public lands, protected areas and in other areas that involve Indigenous Peoples, small landowners and local communities, or in REDD+ actions supported by public funds, there shall be ensured transparency of information regarding the raise, use and distribution of benefits generated by REDD+, as well as periodic financial reporting. |
| Criterion 7.4 | There shall be periodic monitoring of the socio-environmental, economic and climate related impacts and benefits of REDD+ actions, while respecting the traditional way of life and practices of Indigenous Peoples, small landowners and local communities, and results of this monitoring shall be made publicly available. |
| **Project Indicators to Ensure Compliance to Principle** |
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| **Principle 8 – GOVERNANCE: fostering of better governance, coordination and alignment with national, regional and local policies and guidelines.** |
| Criterion 8.1 | REDD+ actions shall be coordinated and be consistent with national, state, regional and municipal policies and program on climate change, conservation, sustainable development and deforestation prevention. |
| Criterion 8.2 | REDD+ actions shall meet the requirements of state or national REDD+ policies. |
| Criterion 8.4 | REDD+ government actions shall contribute to strengthen public instruments and processes for forestry and territory management. |
| **Project Indicators to Ensure Compliance to Principle** |
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