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| **FSC Standards 2010 – Compliance with Laws (Principle 1)** |
| Criterion 1.1 | Forest management shall respect all national and local laws and administrative requirements. |
| Criterion 1.2 | All applicable and legally prescribed fees, royalties, taxes and other charges shall be paid. |
| Criterion 1.3 | The provisions of all binding international agreements shall be respected. |
| **Project Indicators to Ensure Compliance to Principle** |
| Theme | Indicator | Reference | Requirement for Verification  |
| Respect Applicable Laws | Knowledge of Laws and History of Compliance | * Relevant legislative and regulatory requirements.
* Training procedures and materials.
 | * Documents showing legal registration of enterprise.
* 5-Yr history of compliance, correction and prevention of any reoccurrence of non-compliance.
* Copies of all applicable laws and other regulatory requirements, and evidence of procedures for training all employees and contractors. (Large Enterprises)
 |
| Complete Applicable Payments | History of Payments | * Applicable fees, royalties, taxes and other charges.
 | * Records to confirm the calculation, and up-to-date payments of all applicable fees, royalties, taxes and other charges by the enterprise and its contractors.
 |
| Respect Binding International Agreements | Knowledge of Agreements and History of Compliance | * Provisions of all binding international agreements.
 | * No substantiated evidence of any non-compliance.
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| **FSC Standards 2010 – Tenure and Use Rights and Responsibilities (Principle 2)** |
| Criterion 2.1 & 2.3 | Clear evidence of long-term forest use rights to the land (e.g. land title, customary rights, or lease agreements) shall be demonstrated with appropriate mechanisms to resolve disputes over tenure claims and use rights. |
| Criterion 2.2 | Local communities with legal or customary tenure or use rights shall maintain control, to the extent necessary to protect their rights or resources, over forest operations unless they delegate control with free and informed consent to other agencies. |
| **Project Indicators to Ensure Compliance to Principle** |
| Theme | Indicator | Reference | Requirement for Verification  |
| Long-Term Forest Use Rights | Clear Evidence of Long-Term Use Rights | * Land title, customary, rights, or lease agreements.
* Clear evidence of delegation of authority, together with the owner’s commitment that the delegated authority has the right to manage the land.
 | * Documentation (including associated maps) which clearly identifies the ownership of all the lands and forests under evaluation.
* Documentation (including associated maps) which clearly identifies and describes any customary rights and use rights applicable to the lands.
* Permissions needed to implement forest management compatible with long-term compliance.
* Up-to-date and complete record of all disputes relating to tenure claims and use rights.
 |
| Local Community Forest Use Rights | Maintenance of the Rights of Local Communities | * Interaction with local communities.
 | * Documented agreements and/or interviews with the representatives of local communities.
* No substantive evidence that the enterprise obstructs or prevents local communities with legal tenure or use rights from exercising such rights.
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| **FSC Standards 2010 – Indigenous Peoples' Rights (Principle 3)** |
| Criterion 3.1 | Indigenous peoples shall control forest management on their lands and territories unless they delegate control with free and informed consent to other agencies. |
| Criterion 3.2 | Forest management shall not threaten or diminish, either directly or indirectly, the resources or tenure rights of indigenous peoples. |
| Criterion 3.3 | Sites of special cultural, ecological, economic or religious significance to indigenous peoples shall be clearly identified in cooperation with such peoples, and recognized and protected by forest managers. |
| Criterion 3.4 | Indigenous peoples shall be compensated for the application of their traditional knowledge regarding the use of forest species or management systems in forest operations. This compensation shall be formally agreed upon with their free and informed consent before forest operations commence. |
| **Project Indicators to Ensure Compliance to Principle** |
| Theme | Indicator | Reference | Requirement for Verification  |
| Lands and Territories of Indigenous Peoples | Control of Forest Management | * Map all lands or territories of Indigenous Peoples (including migratory groups).
 | * Identification and boundaries of any such lands or territories.
* Boundaries not subject to any dispute of substantial magnitude.
 |
| Diminishment of Resource or Tenure Rights of Indigenous Peoples | Recognition and Respect for the Legal and Customary Rights of the IndigenousPeoples | * The Indigenous People's interests or concerns shall be clearly incorporated in the management plan.
 | * Indigenous Peoples formally indicate, in writing or by traditional means, that their legal and customary rights over their lands and resources have been recognized and respected.
* Potential adverse impacts of project on indigenous communities’ resources or tenure rights are documented.
* Documentation of any actions taken to prevent or mitigate adverse impacts.
 |
| Protection of Special Sites | Recognition and Respect for Special Sites of the IndigenousPeoples | * Identified areas of cultural, historical, or religious, subsistence, or economic significance to Indigenous Peoples.
 | * Local Indigenous Peoples employed to identify sites and features.
* Areas and evidence of cultural or archaeological significance carefully managed for and protected in consultation with local Indigenous People.
 |
| Compensation for Traditional Knowledge | Recognition and Value of the Indigenous Peoples’ Traditional Practices or Knowledge | * Documentation of Indigenous Peoples’ traditional practices or knowledge.
 | * If Indigenous Peoples' traditional knowledge is used by the forest management enterprise, the use, and the applicable compensation shall be explicitly recognized in the forest Management Plan.
* The enterprise actively shall assist the Indigenous People in obtaining formal, legal recognition of tribal intellectual property rights.
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| **FSC Standards 2010 – Community Relations and Worker's Rights (Principle 4)** |
| Criterion 4.1 | The communities within, or adjacent to, the forest management area should be given opportunities for employment, training, and other services. |
| Criterion 4.2 | Forest management should meet or exceed all applicable laws and/or regulations covering health and safety of employees and their families. |
| Criterion 4.3 | The rights of workers to organize and voluntarily negotiate with their employers shall be guaranteed as outlined in Conventions 87 and 98 of the International Labour Organisation (ILO). |
| Criterion 4.4 | Management planning and operations shall incorporate social impacts through consultations with people and groups (both men and women) directly affected by management operations. |
| Criterion 4.5 | Appropriate mechanisms shall be employed for resolving grievances and for providing fair compensation in the case of loss or damage affecting the legal or customary rights, property, resources, or livelihoods. |
| **Project Indicators to Ensure Compliance to Principle** |
| Theme | Indicator | Reference | Requirement for Verification  |
| Employment Opportunities | Efforts to Employ, and Train Workers from Local Communities Before Workers are Sought from Further Afield | * Forest access for local training and education organizations.
* Contact with local entrepreneurs.
 | * Training and/or other assistance developed to help local people meet long-term staffing.
* Support to the local community (infrastructure; clinics, nursery schools or recreational facilities).
* Consultation with representatives of the local community to identify areas to support local enterprises.
 |
| Employee Health and Safety | Responsibility for Occupational Health and Safety. | * Knowledge of national laws and/or regulations covering health and safety.
 | * Evidence of procedures with: appropriate age restrictions, protective equipment, accommodations, first aid programs, evacuation plans, accident reporting and prevention.
* Enterprise pays for accident related costs and recuperation.
* Maintenance of safety records, training and insurance programs.
 |
| Labor Organizing | Right to Organize and Negotiate | * ILO Conventions 87 and 98.
 | * No reprisal for labor organizing.
* Bargaining in good faith.
* Evidence of labor organizations involvement in decision-making.
 |
| Social Impacts | Potential Social Impacts Resulting from Project Operations | * Identified social impacts resulting from operations (e.g., passing disease to local population).
* Regular and ongoing consultation.
 | * A formal, documented 'social management system' to seek the views of employees, members of local communities and other groups about the impacts and actions to avoid or reduce such impacts.
 |
| Resolving Grievances  | Compensation for Loss | * Prompt and fair resolution procedures.
 | * Complete, well documented records relating to any grievances and the actions taken to resolve.
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| **FSC Standards 2010 – Benefits from the Forest (Principle 5)** |
| Criterion 5.2 | Forest management and marketing operations should encourage the optimal use and local processing of the forest's diversity of products. |
| Criterion 5.4 | Forest management should strive to strengthen and diversify the local economy, avoiding dependence on a single forest product. |
| **Project Indicators to Ensure Compliance to Principle** |
| Theme | Indicator | Reference | Requirement for Verification  |
| Local Processing | Proportion of Forest Production from Project Area Available to Local Enterprises, Suchas Small-Scale Industries and Processing Operations | * Availability of local processing and markets.
 | * Promote the development of markets for and sustainable harvesting of common, lesser known forest species and/or non-timber forest products.
* Respond positively to requests from local entrepreneurs to support initiatives for the local processing of forest products.
 |
| Diversification of Local Economy | Economic Impacts of Commercial and Non-Commercial Products from Forest | * Information on the range of potential products and services that could be supplied from the project area including recreation.
 | * Local access to the forest, and to harvest forest products for their own or local commercial use.
* Assessed potential for the sustainable production of non-timber products from the forest area (e.g., fisheries values, botanical forest products, recreational opportunities, hunting, etc.), and incorporated this within the forest management planning process.
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