Social Safeguards

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| **Plan Vivo Standards 2008 – Effective and Transparent Governance** |
| 1 | The project has established an effective governance structure with clear roles and lines of accountability. |
| 2 | The project provides assistance to small scale farmers, forest dwellers and land-users in developing countries with recognized land tenure or user rights (forest users/producers). |
| 3 | The project publishes annual reports available to the public. |
| **Project Indicators to Ensure Compliance to Principle** |
| Theme | Indicator | Reference | Requirement for Verification  |
| Governance Structure | Administrative framework with the capacity to aggregate, transact, and monitor progress across all project operations. | * Legal agreements with multiple forest users and forest user groups.
* All necessary legal permissions to carry out the intended activities including the ability to establish land-tenure rights.
 | * Approved development plan containing all statutes, articles and agreements stating individual and organizational roles and responsibilities, as well as documented processes for key project activities.
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| Assistance Forest Users/Producers | Technical ability. | * Assistance in planning and implementing forestry systems, and providing support for silvicultural and other management operations.
 | * Evidence of community meetings (e.g. minutes, lists of attendees).
* Evidence of effective communication between project coordinators and producers (e.g. records of training days, meetings, emails).
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| Annual Reporting | Publically available information. | * Transparent and audited financial accounts able to the secure receipt, holding and disbursement of payments.
 | * Populated, effectively managed database; staff able to explain and demonstrated database functions.
* Approved annual reports.
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| **Plan Vivo Standards 2008 – Livelihood Benefits** |
| 4 | Project undergoes a forest user/community-led planning process. |
| 5 | Project has procedures for entering into sale agreements with forest users/producers.  |
| 6 | Project has process for timely administration and recording of payments to forest users/producers. |
| **Project Indicators to Ensure Compliance to Principle** |
| Theme | Indicator | Reference | Requirement for Verification  |
| Community –Led Planning Process | High levels of community involvement and continuous improvement through capacity building. | * Able to select appropriate target groups, provide training, and establish effective participatory relationships.
* Able to consult producers effectively on a sustained basis.
 | * Records of meetings and lists of attendees.
* Training materials and team notes.
* Mechanisms for participants to discuss issues associated with the design and running of the project.
* Mechanisms in place for continued training.
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| Sale Agreements | An equitable system of procedures for agreementsbased on saleable carbon.  | * Recognized carbon ownership via tenure or landuse rights.
* Agreements specify quantity, price, buyer, payment conditions, risk buffer, and monitoring milestone.
* An equitable system is in place to determine the share of the total price which is allocated to the forest user/producer.
* Sale agreements are entered into voluntarily.
 | * Records of existing sale agreements or templates including type of landholding.
* Staff are able to explain sale agreement conditions and process.
* Records of consultation/ training meetings.
* Verbal evidence from forest users/producers.
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| Payments to Forest Users/Producers | Effective and transparent process for time recording of payments. | * Payments are delivered in full when monitoring is successfully completed against targets in sale agreements.
* Payments are recorded in the project database to ensure traceability of sales.
 | * Evidence of legally constituted and financially audited fund/account.
* Database with evidence of data management and back-up systems.
* Staff able to explain processes for sale agreements and payments.
* Other evidence of payments (e.g. financial audit reports, verbal evidence from forest users/producers).
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